

Chapter 1

INTRODUCTION TO POWER ELECTRONICS SYSTEMS

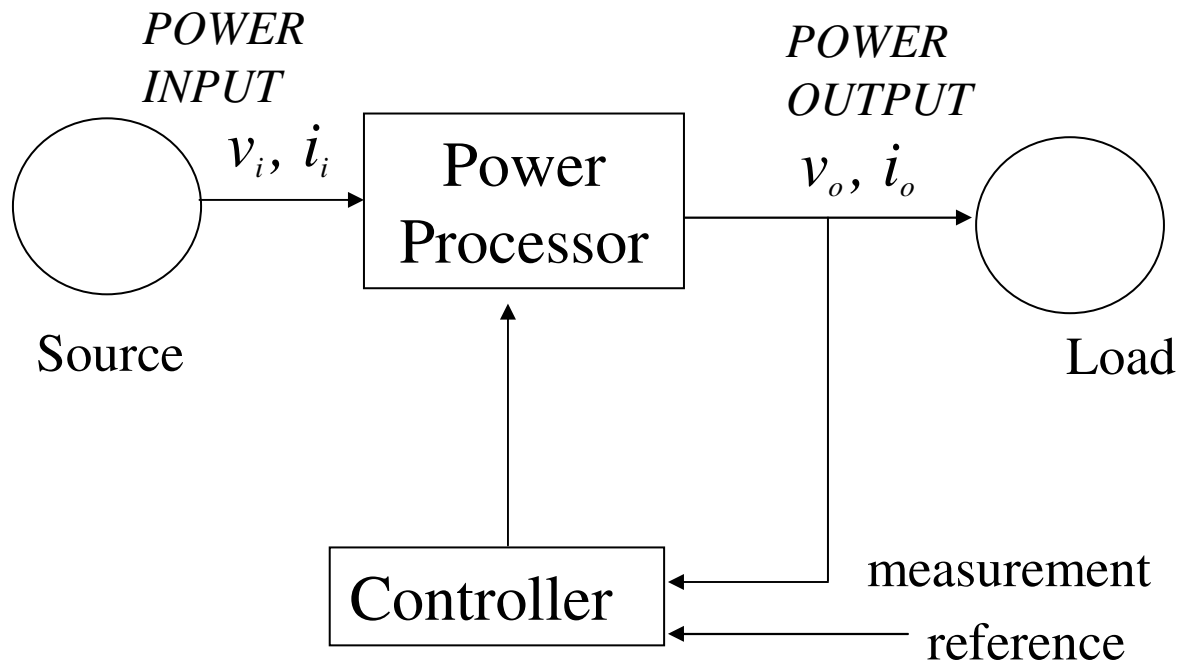
- Definition and concepts
- Application
- Power semiconductor switches
- Gate/base drivers
- Losses
- Snubbers

Definition of Power Electronics

DEFINITION:

To convert, i.e. to *process* and *control* the flow of electric power by supplying voltage s and currents in a form that is optimally suited for *user loads*.

- Basic block diagram



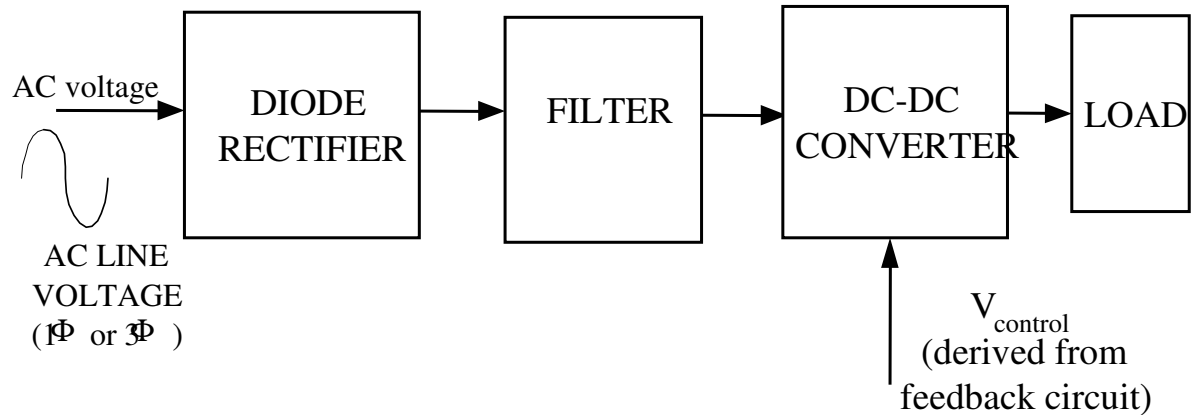
- Building Blocks:
 - Input Power, Output Power
 - Power Processor
 - Controller

Power Electronics (PE) Systems

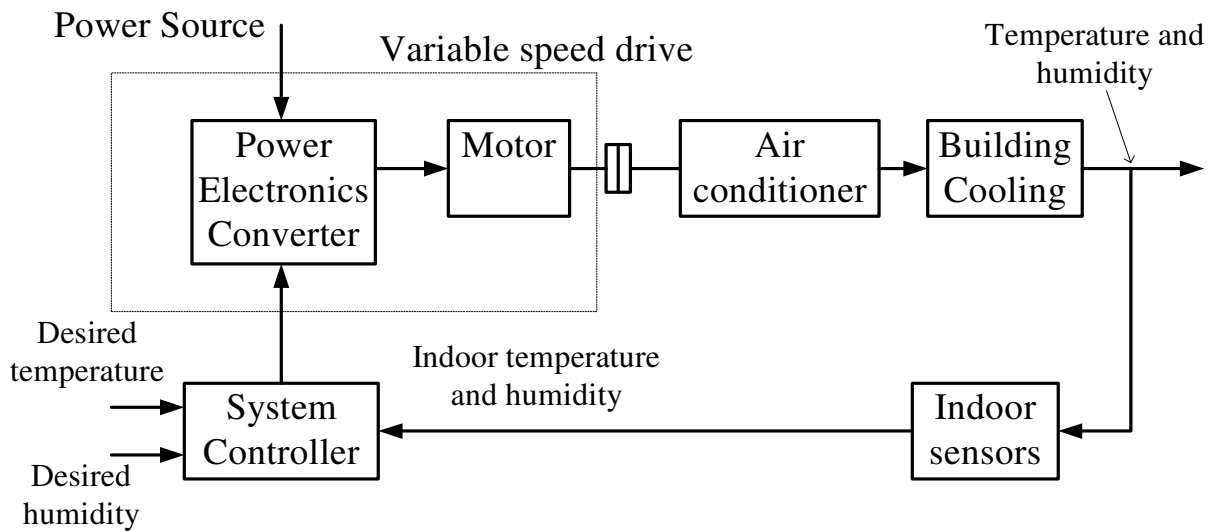
- To convert electrical energy from one form to another, i.e. from the source to load with:
 - highest efficiency,
 - highest availability
 - highest reliability
 - lowest cost,
 - smallest size
 - least weight.
- **Static applications**
 - involves non-rotating or moving mechanical components.
 - Examples:
 - DC Power supply, Un-interruptible power supply, Power generation and transmission (HVDC), Electroplating, Welding, Heating, Cooling, Electronic ballast
- **Drive applications**
 - intimately contains moving or rotating components such as motors.
 - Examples:
 - Electric trains, Electric vehicles, Air-conditioning System, Pumps, Compressor, Conveyor Belt (Factory automation).

Application examples

Static Application: DC Power Supply

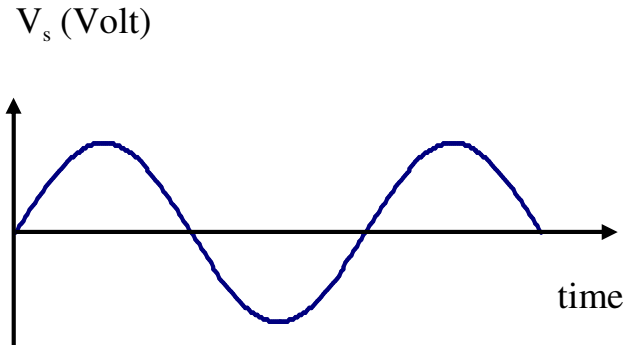


Drive Application: Air-Conditioning System

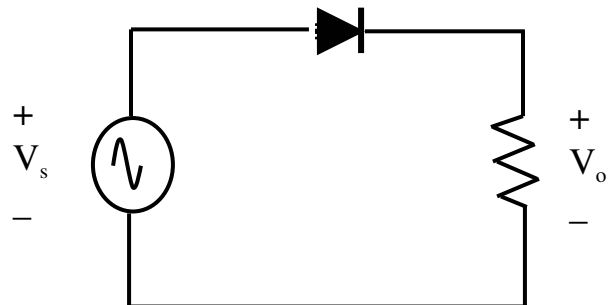


Power Conversion concept: example

- Supply from TNB:
50Hz, 240V RMS
(340V peak).
Customer need DC
voltage for welding
purpose, say.

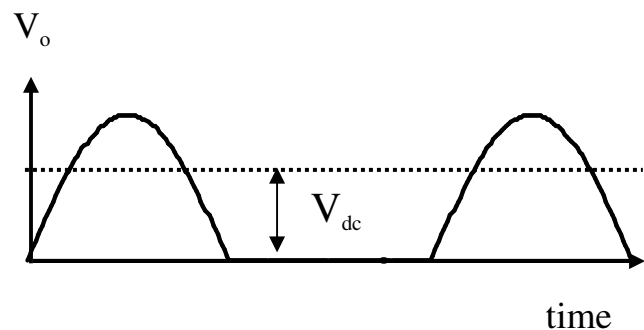


- TNB sine-wave
supply gives zero DC
component!
- We can use simple
half-wave rectifier. A
fixed DC voltage is
now obtained. This is
a simple PE system.



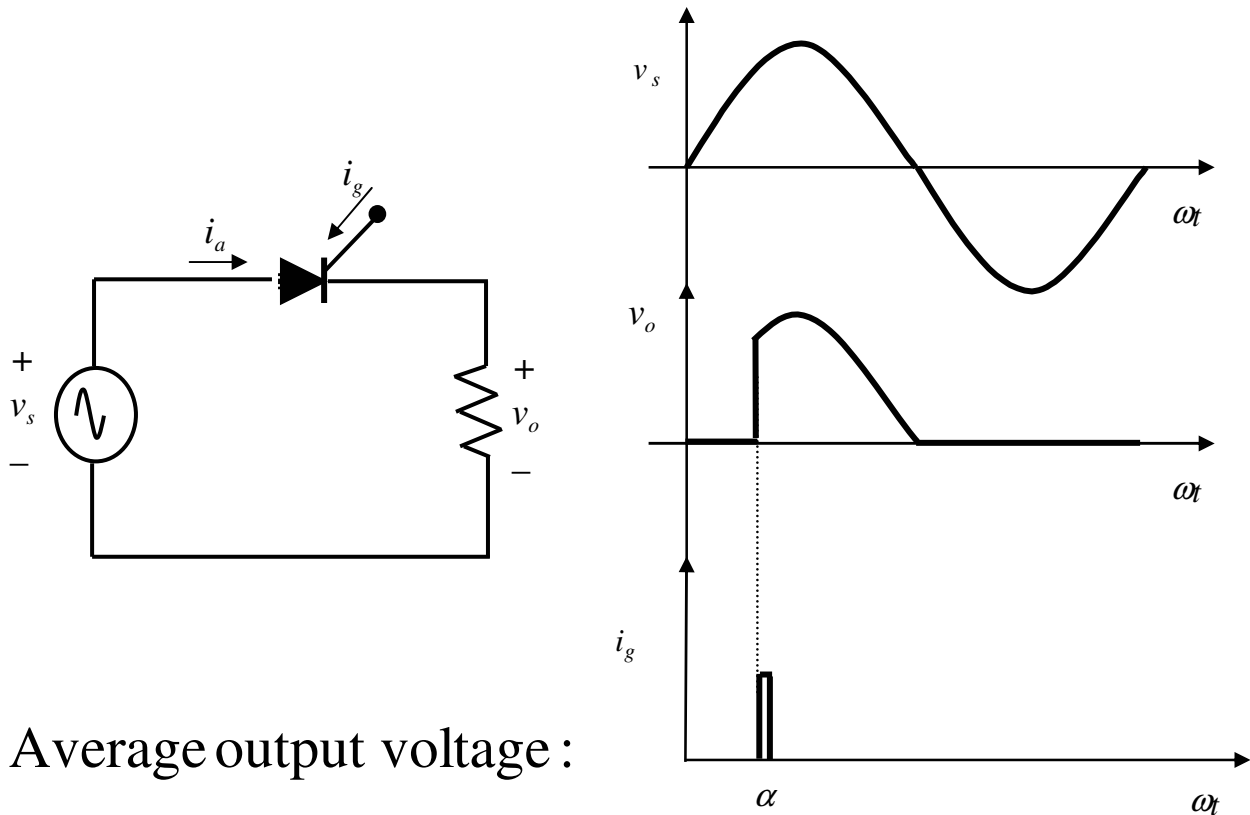
Average output voltage:

$$V_o = \frac{V_m}{\pi}$$



Conversion Concept

How if customer wants variable DC voltage?
More complex circuit using SCR is required.



Average output voltage :

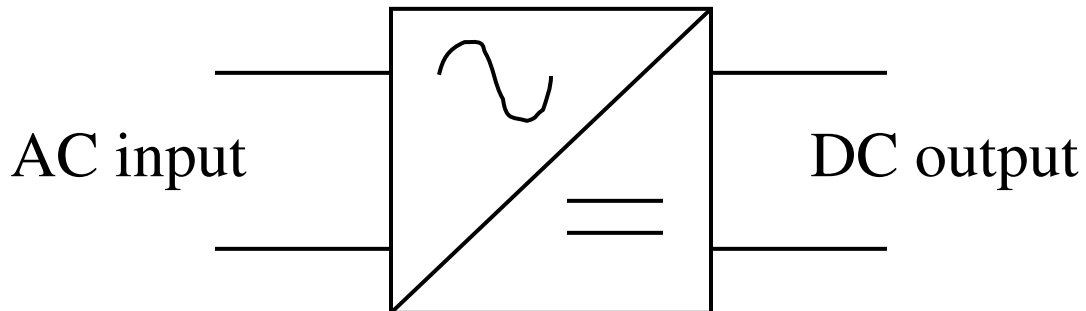
$$V_o = \frac{1}{2\pi} \int_{\alpha}^{\pi} V_m \sin(\omega t) d\omega t = \frac{V_m}{2\pi} [1 + \cos \alpha]$$

By controlling the firing angle, α , the output DC voltage (after conversion) can be varied..

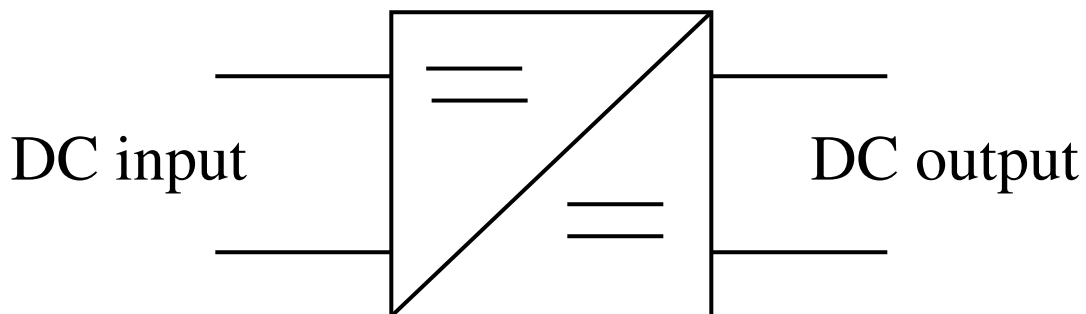
Obviously this needs a complicated electronic system to set the firing current pulses for the SCR.

Power Electronics Converters

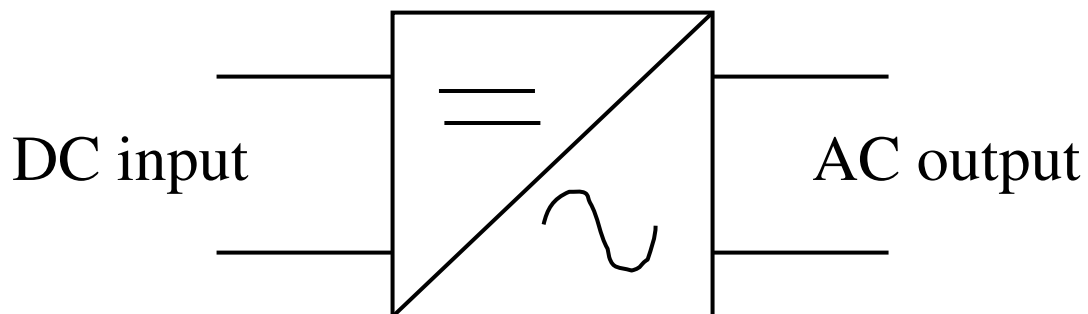
AC to DC: RECTIFIER



DC to DC: CHOPPER



DC to AC: INVERTER



Current issues

1. Energy scenario

- Need to reduce dependence on fossil fuel
 - coal, natural gas, oil, and nuclear power resource
Depletion of these sources is expected.
- Tap renewable energy resources:
 - solar, wind, fuel-cell, ocean-wave
- Energy saving by PE applications. Examples:
 - Variable speed compressor air-conditioning system: 30% savings compared to thermostat-controlled system.
 - Lighting using electronics ballast boost efficiency of fluorescent lamp by 20%.

2. Environment issues

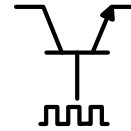
- Nuclear safety.
 - Nuclear plants remain radioactive for thousands of years.
- Burning of fossil fuel
 - emits gases such as CO₂, CO (oil burning), SO₂, NO_x (coal burning) etc.
 - Creates global warming (green house effect), acid rain and urban pollution from smokes.
- Possible Solutions by application of PE. Examples:
 - Renewable energy resources.
 - Centralization of power stations to remote non-urban area. (mitigation).
 - Electric vehicles.

PE growth

- PE rapid growth due to:
 - Advances in power (semiconductor) switches
 - Advances in microelectronics (DSP, VLSI, microprocessor/microcontroller, ASIC)
 - New ideas in control algorithms
 - Demand for new applications
- PE is an interdisciplinary field:
 - Digital/analogue electronics
 - Power and energy
 - Microelectronics
 - Control system
 - Computer, simulation and software
 - Solid-state physics and devices
 - Packaging
 - Heat transfer

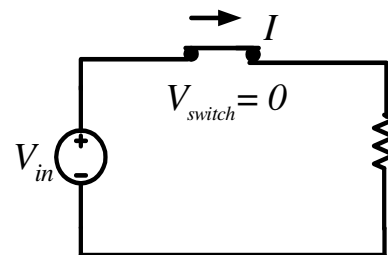
Power semiconductor devices (Power switches)

- Power switches:
work-horses of PE
systems.

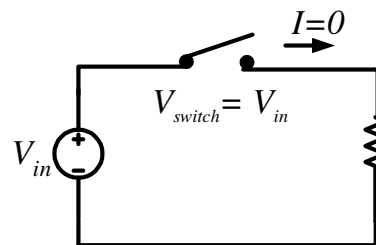


POWER SWITCH

- Operates in two states:
 - Fully **on**, i.e.
switch closed.
 - **Conducting** state
 - Fully **off**, i.e.
switch opened.
 - **Blocking** state



SWITCH ON (fully closed)



SWITCH OFF (fully opened)

- Power switch never
operates in linear
mode.
- Can be categorised into three groups:
 - **Uncontrolled**: Diode :
 - **Semi-controlled**: Thyristor (SCR).
 - **Fully controlled**: Power transistors: e.g. BJT,
MOSFET, IGBT, GTO, IGCT

Photos of Power Switches

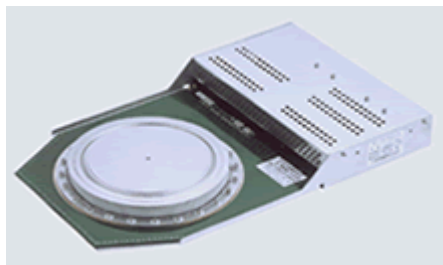
(From Powerex Inc.)



- Power Diodes
 - Stud type
 - “Hockey-puck” type

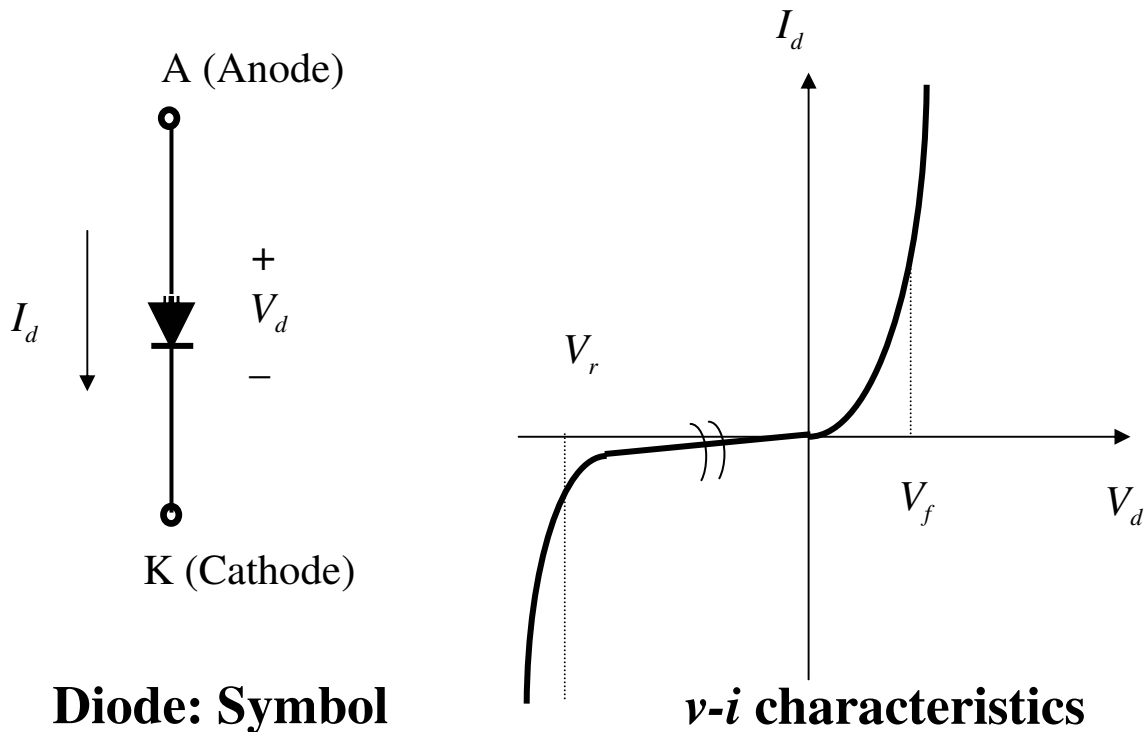


- IGBT
 - Module type:
Full bridge and
three phase



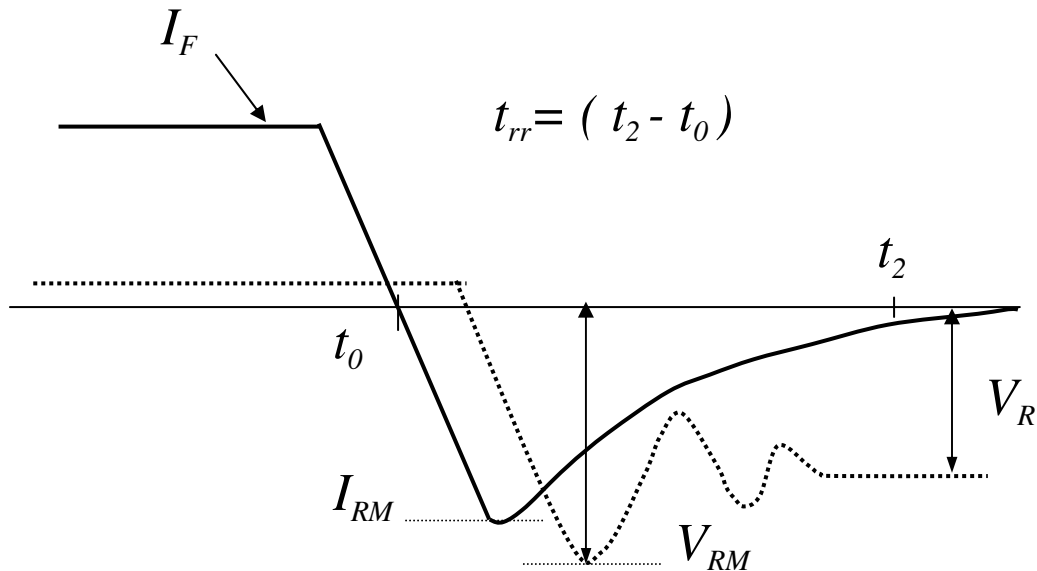
- IGCT
 - Integrated with
its driver

Power Diode



- When diode is forward biased, it conducts current with a small forward voltage (V_f) across it (0.2-3V)
- When reversed (or blocking state), a negligibly small leakage current (μA to mA) flows until the reverse breakdown occurs.
- Diode should not be operated at reverse voltage greater than V_r

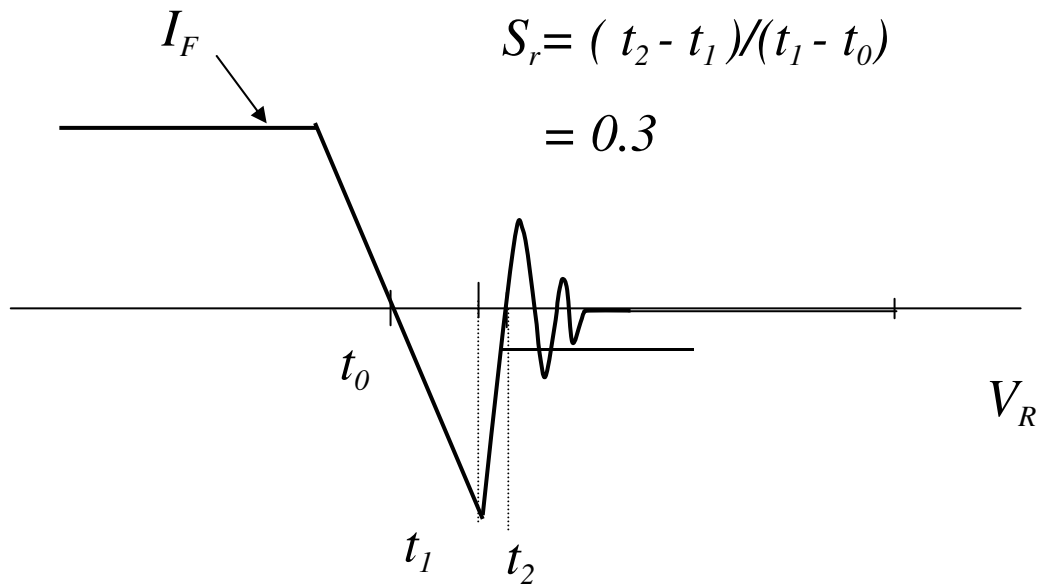
Reverse Recovery



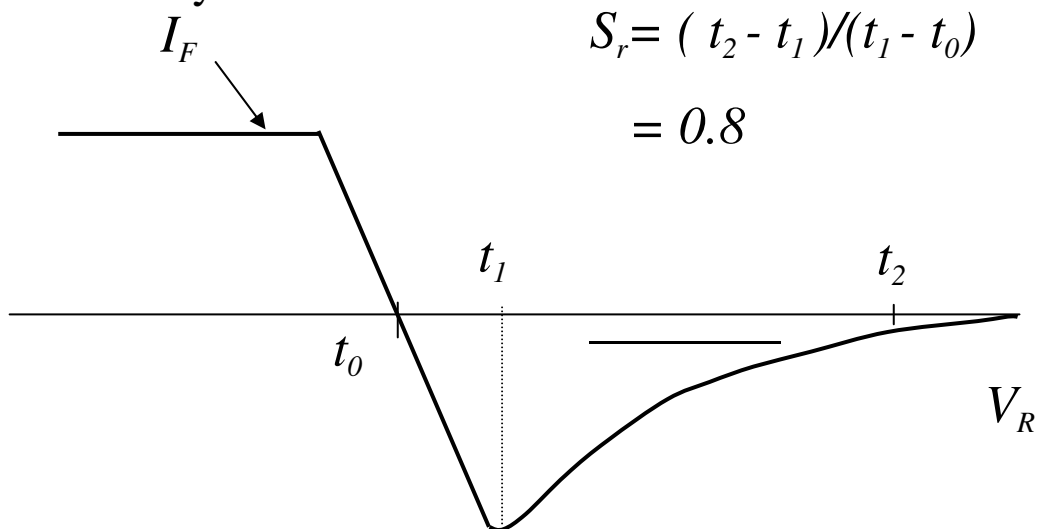
- When a diode is switched quickly from forward to reverse bias, it continues to conduct due to the *minority carriers* which remains in the p-n junction.
- The minority carriers require finite time, i.e, t_{rr} (reverse recovery time) to recombine with opposite charge and neutralise.
- Effects of reverse recovery are increase in switching losses, increase in voltage rating, over-voltage (spikes) in inductive loads

Softness factor, S_r

Snap-off



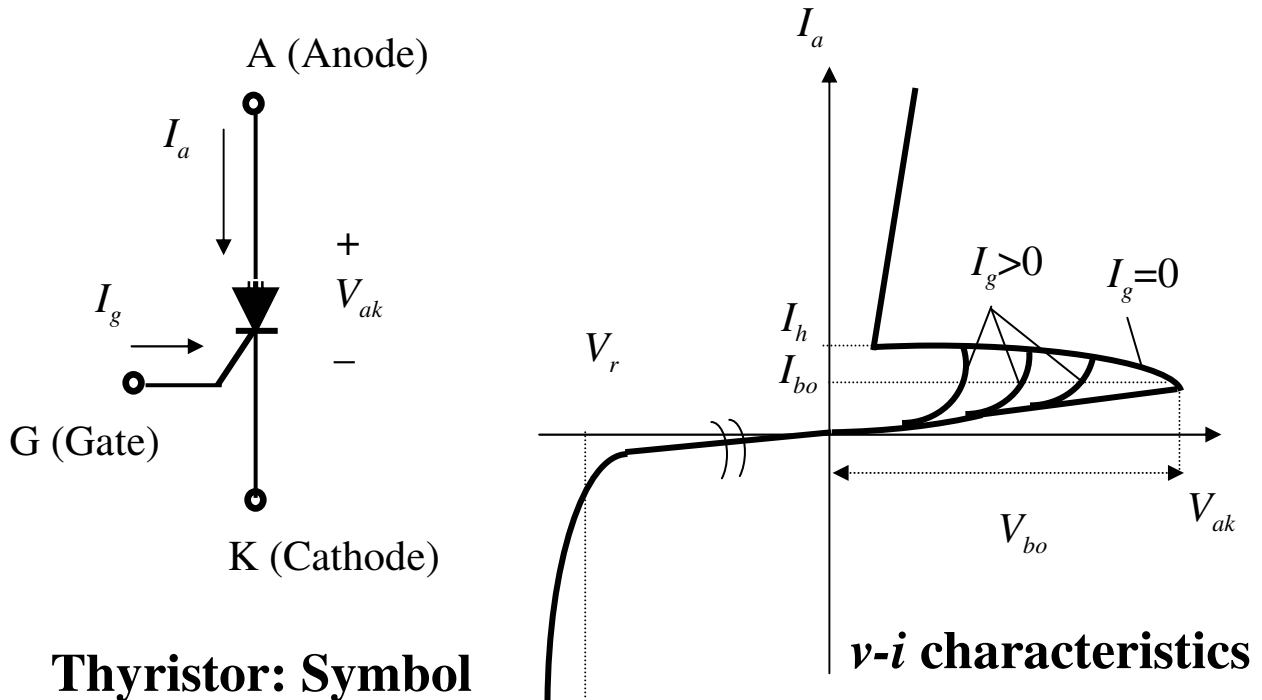
Soft-recovery



Types of Power Diodes

- **Line frequency** (general purpose):
 - On state voltage: very low (below 1V)
 - Large t_{rr} (about 25us) (very slow response)
 - Very high current ratings (up to 5kA)
 - Very high voltage ratings(5kV)
 - Used in line-frequency (50/60Hz) applications such as rectifiers
- **Fast recovery**
 - Very low t_{rr} (<1us).
 - Power levels at several hundred volts and several hundred amps
 - Normally used in high frequency circuits
- **Schottky**
 - Very low forward voltage drop (typical 0.3V)
 - Limited blocking voltage (50-100V)
 - Used in low voltage, high current application such as switched mode power supplies.

Thyristor (SCR)

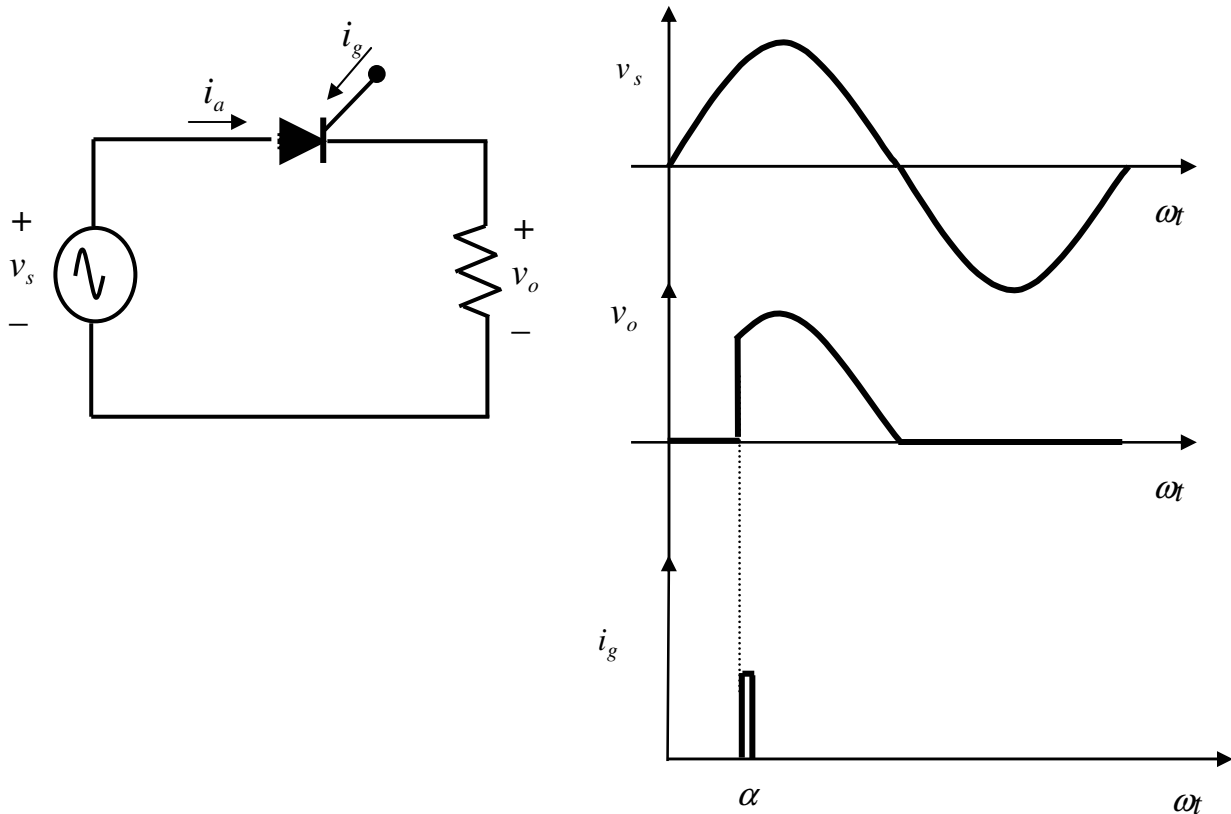


Thyristor: Symbol

$v-i$ characteristics

- If the forward breakover voltage (V_{bo}) is exceeded, the SCR “self-triggers” into the conducting state.
- The presence of gate current will reduce V_{bo} .
- “Normal” conditions for thyristors to turn on:
 - the device is in forward blocking state (i.e V_{ak} is positive)
 - a positive gate current (I_g) is applied at the gate
- Once conducting, the anode current is latched. V_{ak} collapses to normal forward volt-drop, typically 1.5-3V.
- In reverse -biased mode, the SCR behaves like a diode.

Thyristor Conduction



- Thyristor cannot be turned off by applying negative gate current. It can only be turned off if I_a goes negative (reverse)
 - This happens when negative portion of the of sine-wave occurs (natural commutation),
- Another method of turning off is known as “forced commutation”,
 - The anode current is “diverted” to another circuitry.

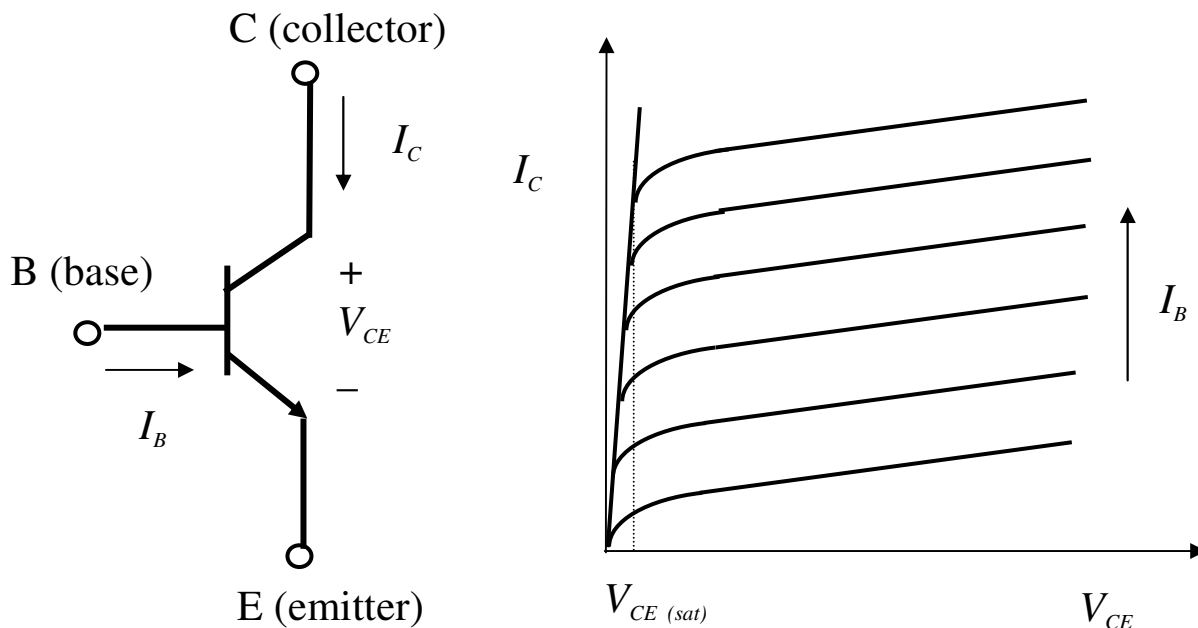
Types of thyristors

- **Phase controlled**
 - rectifying line frequency voltage and current for ac and dc motor drives
 - large voltage (up to 7kV) and current (up to 4kA) capability
 - low on-state voltage drop (1.5 to 3V)
- **Inverter grade**
 - used in inverter and chopper
 - Quite fast. Can be turned-on using “force-commutation” method.
- **Light activated**
 - Similar to phase controlled, but triggered by pulse of light.
 - Normally very high power ratings
- **TRIAC**
 - Dual polarity thyristors

Controllable switches (power transistors)

- Can be turned “ON” and “OFF” by relatively very small control signals.
- Operated in SATURATION and CUT-OFF modes only.
- No “linear region” operation is allowed due to excessive power loss.
- In general, power transistors do not operate in latched mode.
- *Traditional devices:* Bipolar junction transistors (BJT), Metal oxide silicon field effect transistor (MOSFET), Insulated gate bipolar transistors (IGBT), Gate turn-off thyristors (GTO)
- *Emerging (new) devices:* Gate controlled thyristors (GCT).

Bipolar Junction Transistor (BJT)

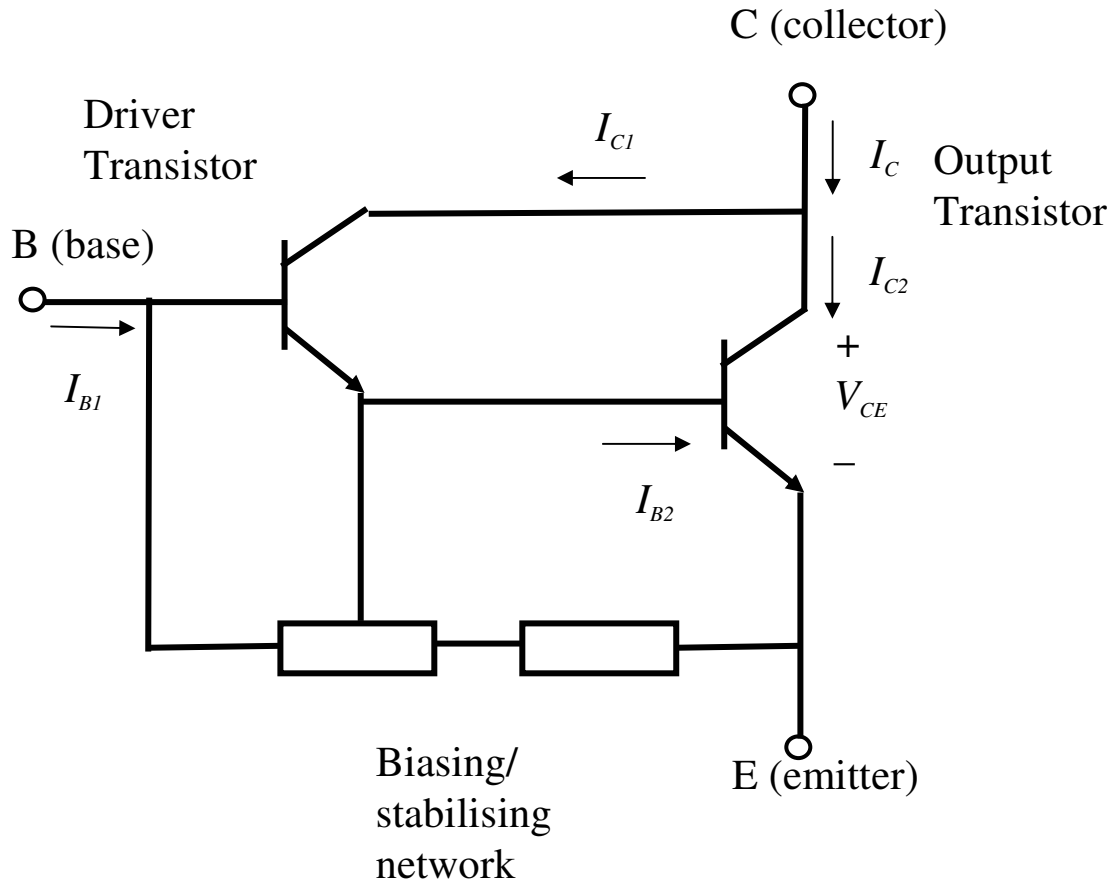


BJT: symbol (*nnp*)

***v-i* characteristics**

- **Ratings:** Voltage: $V_{CE} < 1000$, Current: $I_C < 400A$. Switching frequency up to 5kHz. Low on-state voltage: $V_{CE(sat)} : 2-3V$
- **Low current gain** ($\beta < 10$). Need high base current to obtain reasonable I_C .
- Expensive and complex base drive circuit. Hence not popular in new products.

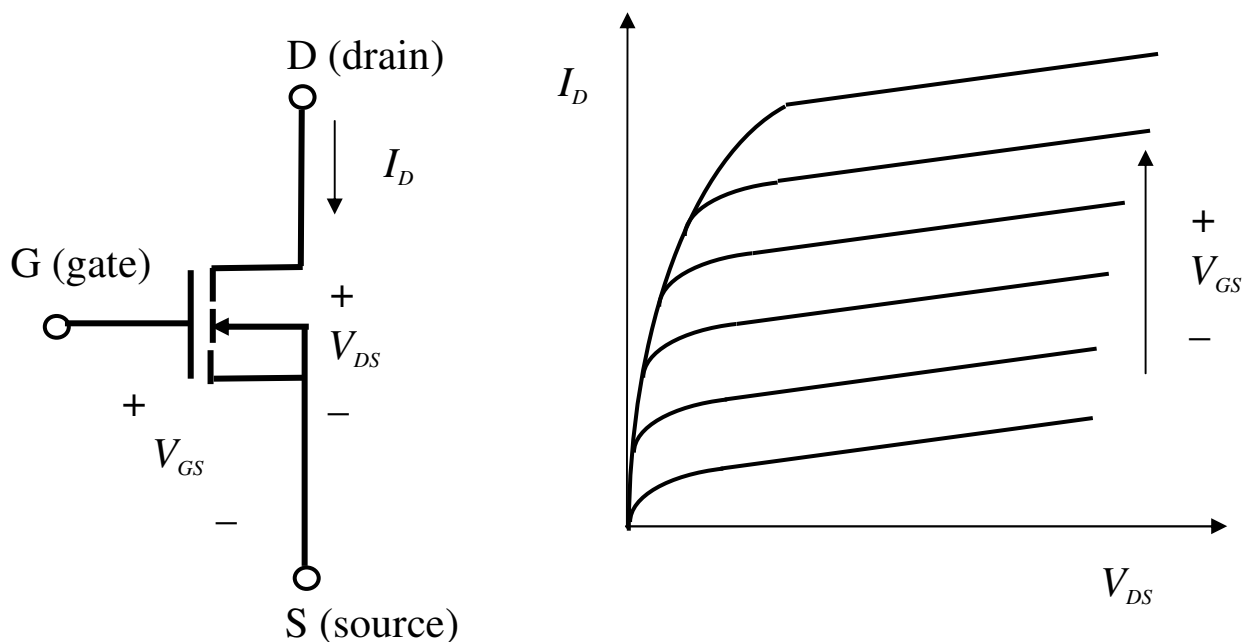
BJT Darlington pair



- Normally used when higher current gain is required

$$\begin{aligned}
 \beta &= I_c / I_{B1} = (I_{c1} + I_{c2}) / I_{B1} = \frac{I_{c1}}{I_{B1}} + \frac{I_{c2}}{I_{B1}} \\
 &= \beta_1 + \left(\frac{I_{c2}}{I_{B2}} \right) \cdot \left(\frac{I_{B2}}{I_{B1}} \right) = \beta_1 + \beta_2 \cdot \left(\frac{I_{B1} + I_{c1}}{I_{B1}} \right) \\
 &= \beta_1 + \beta_2 \cdot (1 + \beta_1) \\
 \Rightarrow \beta &= \beta_1 + \beta_2 + \beta_1 \beta_2
 \end{aligned}$$

Metal Oxide Silicon Field Effect Transistor (MOSFET)



**MOSFET: symbol
(n-channel)**

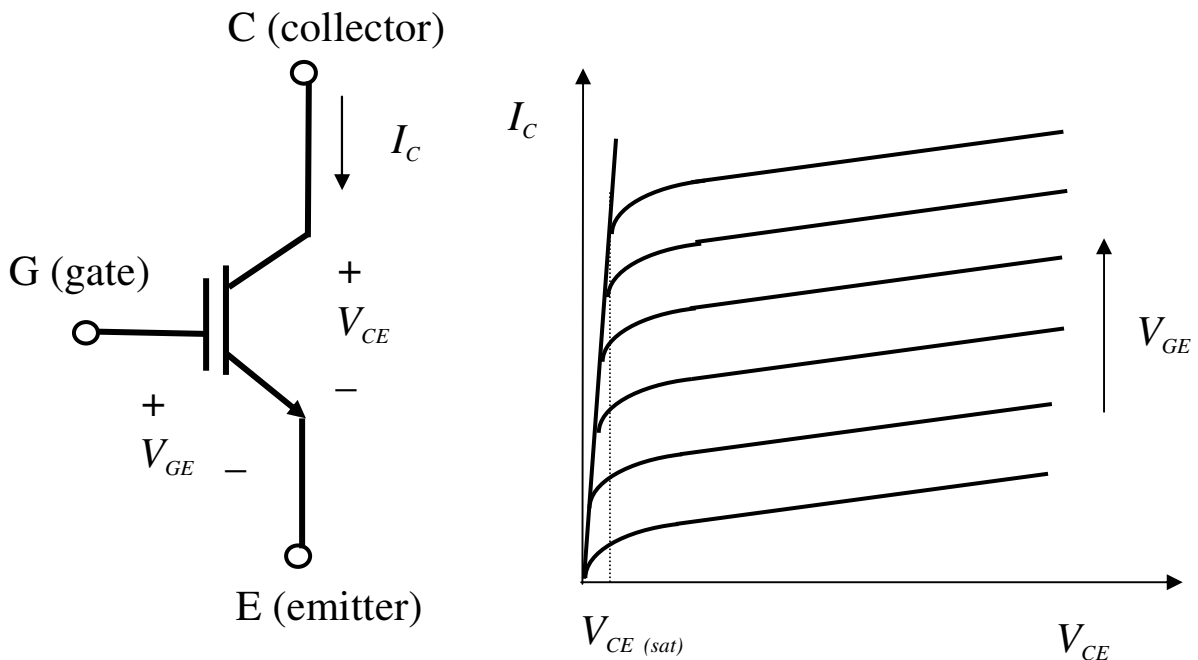
***v-i* characteristics**

- **Ratings:** Voltage $V_{DS} < 500\text{V}$, current $I_{DS} < 300\text{A}$. Frequency $f > 100\text{KHz}$. For some low power devices (few hundred watts) may go up to MHz range.
- Turning on and off is very simple.
 - To turn on: $V_{GS} = +15\text{V}$
 - To turn off: $V_{GS} = 0\text{V}$ and 0V to turn off.
- Gate drive circuit is simple

MOSFET characteristics

- Basically low voltage device. High voltage device are available up to 600V but with limited current. Can be paralleled quite easily for higher current capability.
- Internal (dynamic) resistance between drain and source during on state, $R_{DS(ON)}$, limits the power handling capability of MOSFET. High losses especially for high voltage device due to $R_{DS(ON)}$.
- Dominant in high frequency application (>100kHz). Biggest application is in switched-mode power supplies.

Insulated Gate Bipolar Transistor (IGBT)

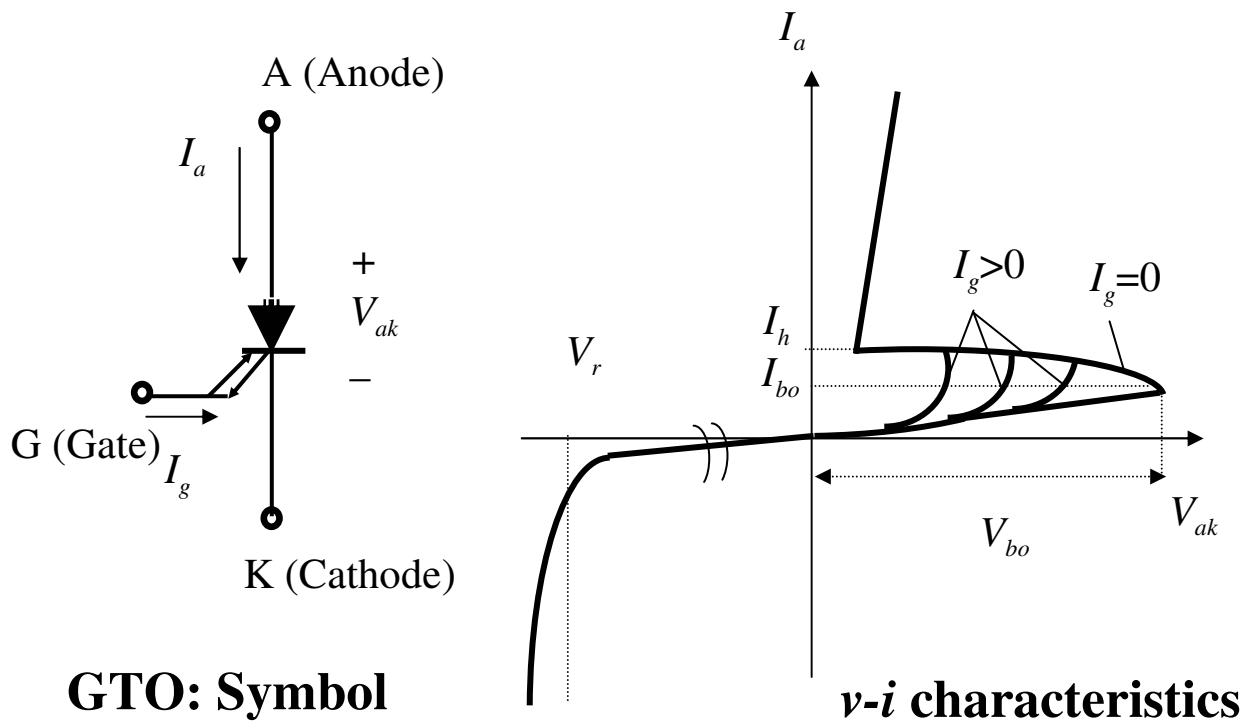


IGBT: symbol

v-i characteristics

- Combination of BJT and MOSFET characteristics.
 - Gate behaviour similar to MOSFET - easy to turn on and off.
 - Low losses like BJT due to low on-state Collector-Emitter voltage (2-3V).
- **Ratings:** Voltage: $V_{CE} < 3.3\text{kV}$, Current: $I_C < 1.2\text{kA}$ currently available. Latest: HVIGBT 4.5kV/1.2kA.
- Switching frequency up to 100KHz. Typical applications: 20-50KHz.

Gate turn-off thyristor (GTO)

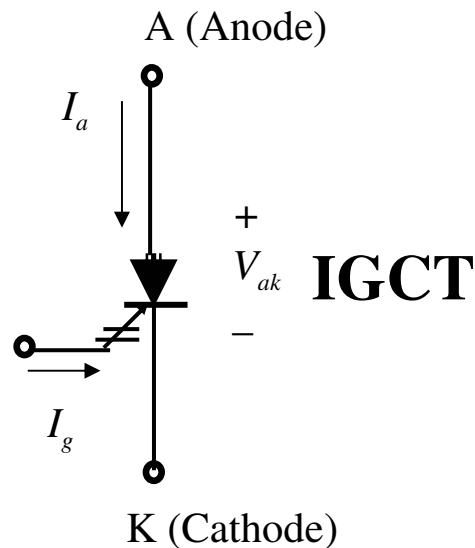


GTO: Symbol

$v-i$ characteristics

- Behave like normal thyristor, but can be turned off using gate signal
- However turning off is difficult. Need very large reverse gate current (normally 1/5 of anode current).
- Gate drive design is very difficult due to very large reverse gate current at turn off.
-
- **Ratings:** Highest power ratings switch: Voltage: $V_{ak} < 5\text{kV}$; Current: $I_a < 5\text{kA}$. Frequency $< 5\text{KHz}$.
- Very stiff competition:
Low end-from IGBT. High end from IGCT

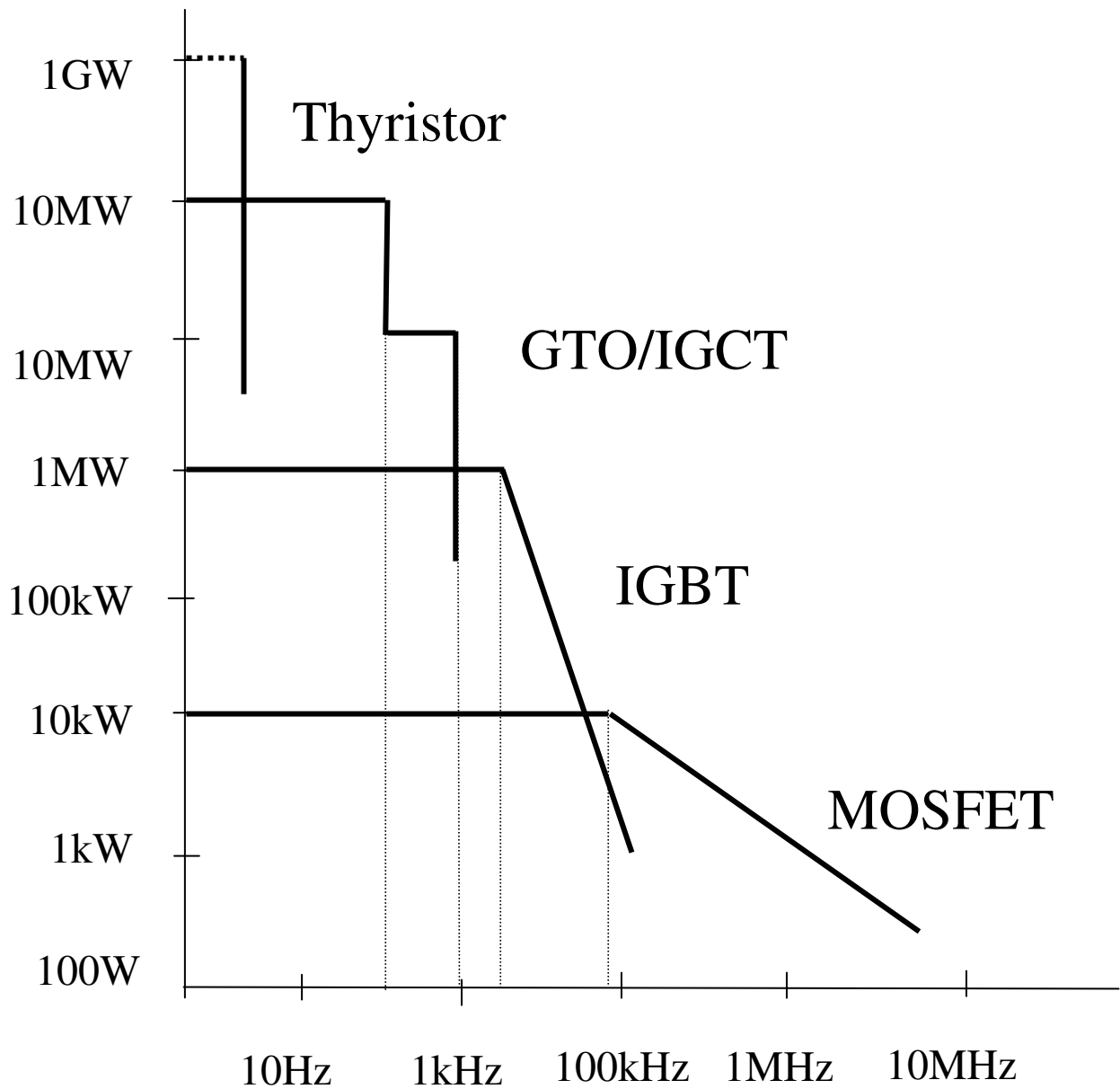
Insulated Gate-Commutated Thyristor (IGCT)



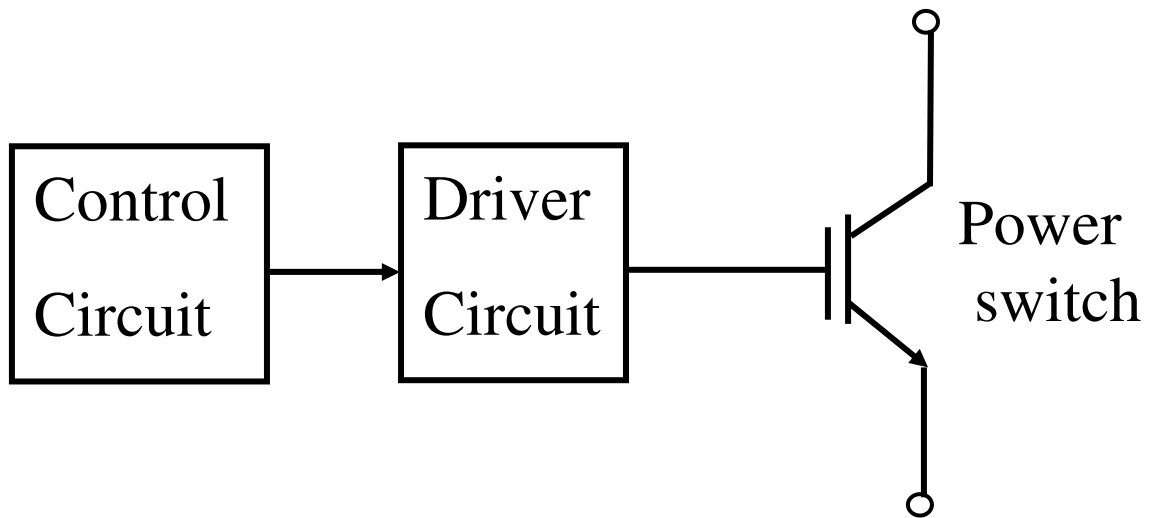
IGCT: Symbol

- Among the latest Power Switches.
- Conducts like normal thyristor (latching), but can be turned off using gate signal, similar to IGBT turn off; 20V is sufficient.
- Power switch is integrated with the gate-drive unit.
- **Ratings:**
Voltage: $V_{ak} < 6.5\text{kV}$; Current: $I_a < 4\text{kA}$.
Frequency $< 1\text{KHz}$. Currently 10kV device is being developed.
- Very low on state voltage: 2.7V for 4kA device

Power Switches: Power Ratings

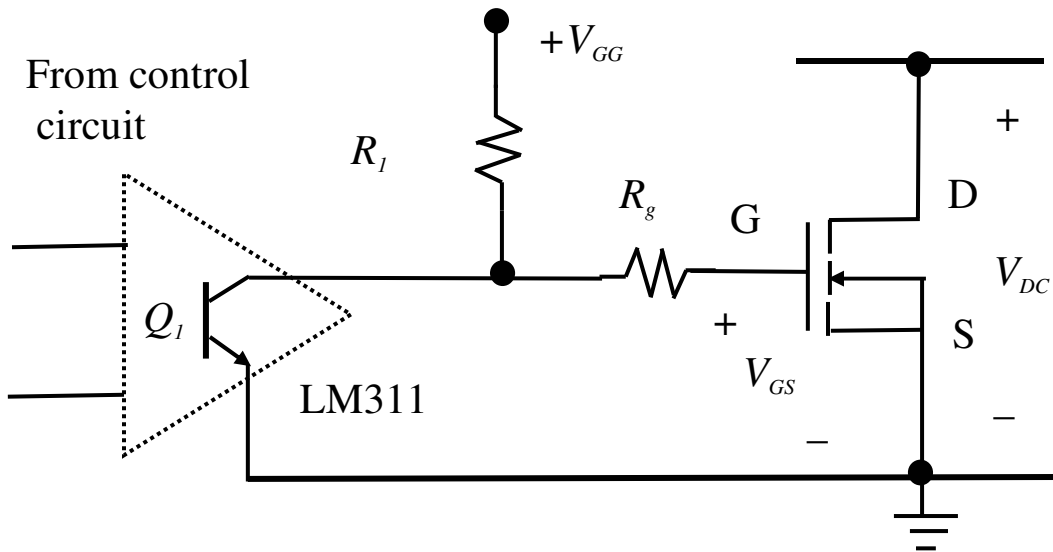


(Base/gate) Driver circuit



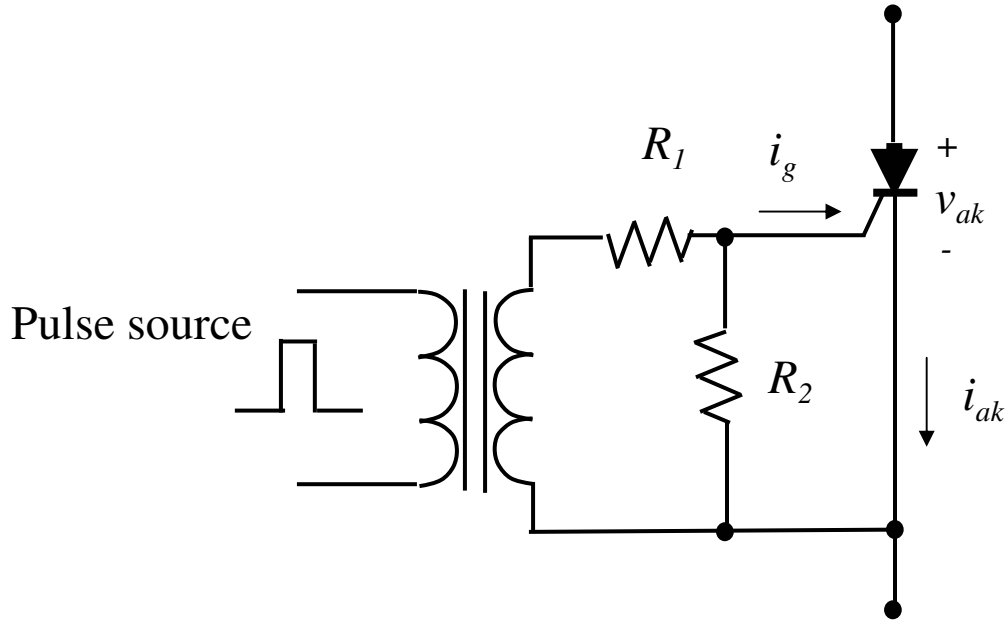
- Interface between control (low power electronics) and (high power) switch.
- Functions:
 - **Amplification:** amplifies control signal to a level required to drive power switch
 - **Isolation:** provides electrical isolation between power switch and logic level
- Complexity of driver varies markedly among switches.
 - MOSFET/IGBT drivers are simple
 - GTO and BJT drivers are very complicated and expensive.

Amplification: Example: MOSFET gate driver

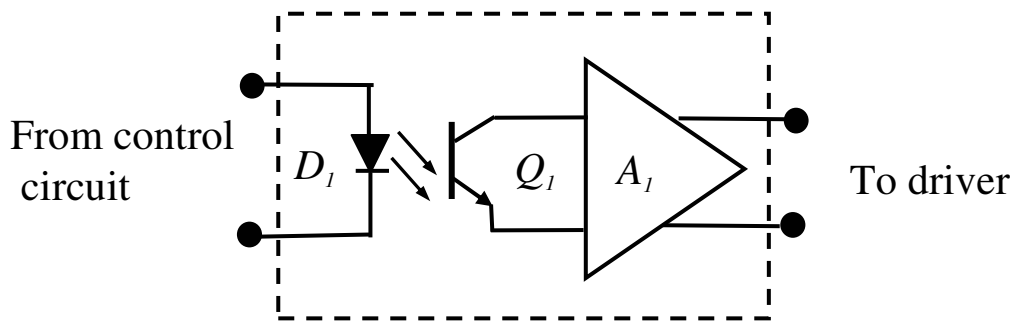


- Note: MOSFET requires $V_{GS} = +15V$ for turn on and $0V$ to turn off. LM311 is a simple amp with open collector output Q_1 .
- When B_1 is high, Q_1 conducts. V_{GS} is pulled to ground. MOSFET is off.
- When B_1 is low, Q_1 will be off. V_{GS} is pulled to V_{GG} . If V_{GG} is set to $+15V$, the MOSFET turns on.
- Effectively, the power to turn-on the MOSFET comes from external power supply, V_{GG}

Isolation



Isolation using Pulse Transformer



Isolation using Opto-coupler

Switches comparisons (2003)

	Thy	BJT	FET	GTO	IGBT	IGCT
Avail- abilty	Early 60s	Late 70s	Early 80s	Mid 80s	Late 80s	Mid 90's
State of Tech.	Mature	Mature	Mature/ improve	Mature	Rapid improve	Rapid improvement
Voltage ratings	5kV	1kV	500V	5kV	3.3kV	6.5kV
Current ratings	4kA	400A	200A	5kA	1.2kA	4kA
Switch Freq.	na	5kHz	1MHz	2kHz	100kHz	1kHz
On-state Voltage	2V	1-2V	$I^* R_{ds}$ (on)	2-3V	2-3V	3V
Drive Circuit	Simple	Difficult	Very simple	Very difficult	Very simple	Simple
Comm-ents	Cannot turn off using gate signals	Phasing out in new product	Good performan ce in high freq.	King in very high power	Best overall performanc e.	Replacing GTO

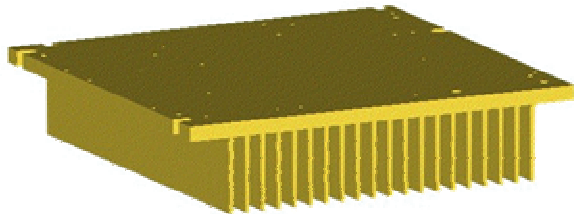
Application examples

- For each of the following application, choose the best power switches and reason out why.
 - An inverter for the light-rail train (LRT) locomotive operating from a DC supply of 750 V. The locomotive is rated at 150 kW. The induction motor is to run from standstill up to 200 Hz, with power switches frequencies up to 10KHz.
 - A switch-mode power supply (SMPS) for remote telecommunication equipment is to be developed. The input voltage is obtained from a photovoltaic array that produces a maximum output voltage of 100 V and a minimum current of 200 A. The switching frequency should be higher than 100kHz.
 - A HVDC transmission system transmitting power of 300 MW from one ac system to another ac system both operating at 50 Hz, and the DC link voltage operating at 2.0 kV.

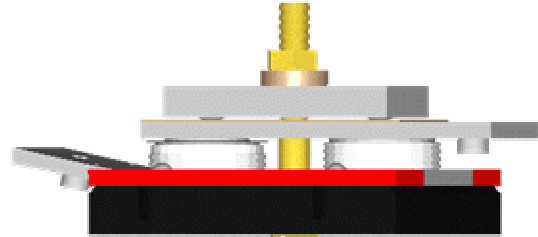
Power switch losses

- Why it is important to consider losses of power switches?
 - to ensure that the system operates reliably under prescribed ambient conditions
 - so that **heat removal mechanism** (e.g. heat sink, radiators, coolant) can be specified. losses in switches affects the system efficiency
- **Heat sinks** and other heat removal systems are costly and bulky. Can be substantial cost of the total system.
- If a power switch is not cooled to its specified junction temperature, the full power capability of the switch cannot be realised. *Derating* of the power switch ratings may be necessary.
- Main losses:
 - **forward conduction** losses,
 - **blocking state** losses
 - **switching** losses

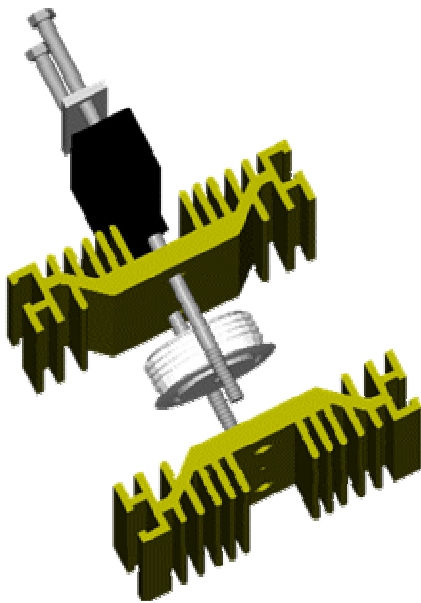
Heat Removal Mechanism



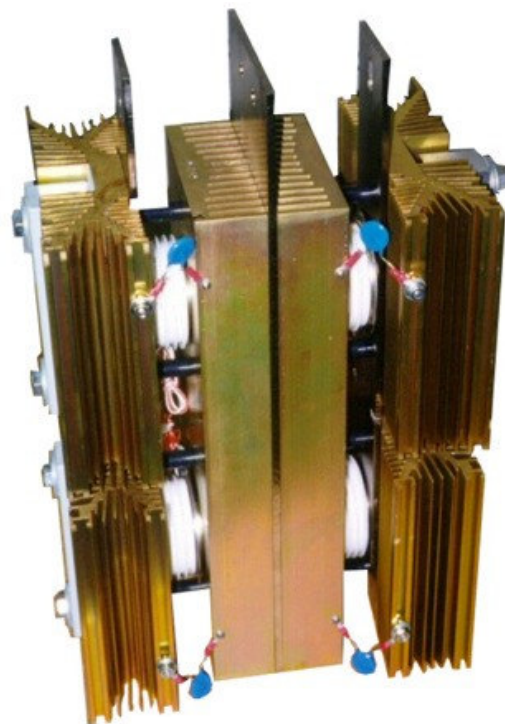
Fin-type Heat Sink



SCR (hokey-puck-type) on power pak kits

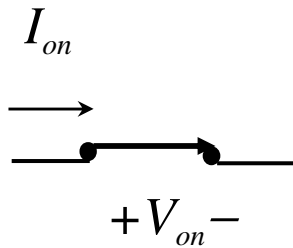


SCR (stud-type) on air-cooled kits

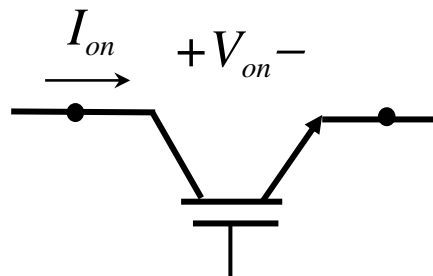


Assembly of power converters

Forward conduction loss



Ideal switch



Real switch

Ideal switch:

- Zero voltage drop across it during turn-on (V_{on}).
- Although the forward current (I_{on}) may be large, the losses on the switch is zero.

- **Real switch:**

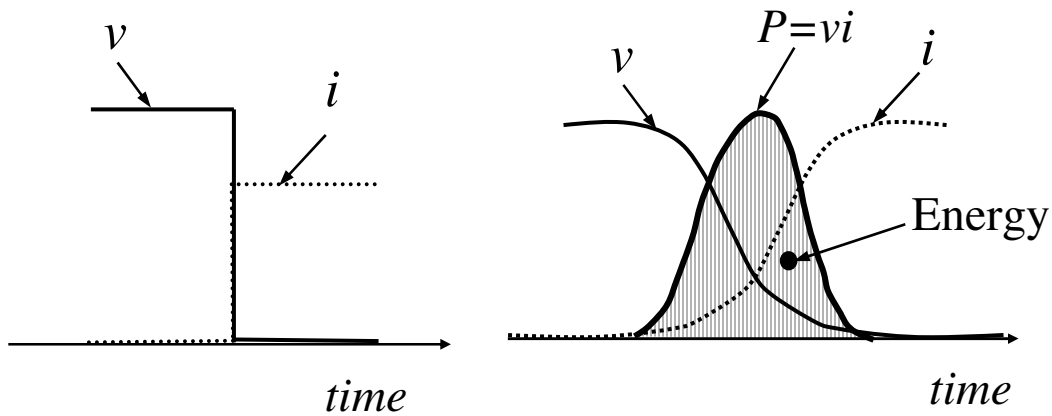
- Exhibits forward conduction voltage (on state) (between 1-3V, depending on type of switch) during turn on.
- Losses is measured by product of volt-drop across the device V_{on} with the current, I_{on} , averaged over the period.

- Major loss at low frequency and DC

Blocking state loss

- During turn-off, the switch blocks large voltage.
- Ideally no current should flow through the switch. But for real switch a small amount of leakage current may flow. This creates turn-off or blocking state losses
- The leakage current during turn-off is normally very small, Hence the turn-off losses are usually neglected.

Switching loss

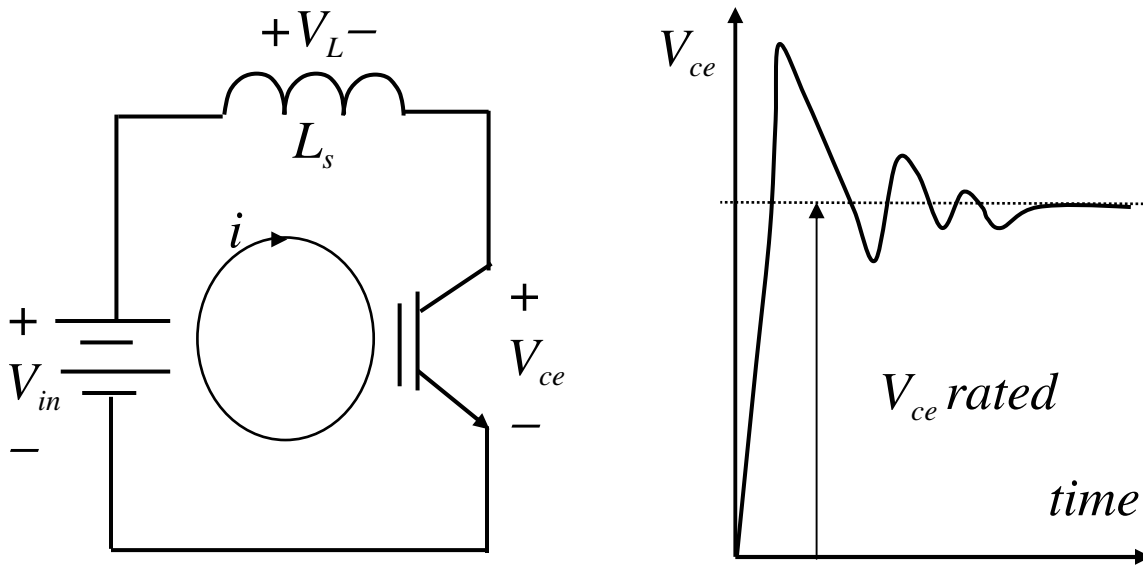


**Ideal switching profile
(turn on)**

**Real switching profile
(turn-on)**

- **Ideal switch:**
 - During turn-on and turn off, ideal switch requires zero transition time. Voltage and current are switched instantaneously.
 - Power loss due to switching is zero
- **Real switch:**
 - During switching transition, the voltage requires time to fall and the current requires time to rise.
 - The switching losses is the product of device voltage and current during transition.
- Major loss at high frequency operation

Snubbers



Simple switch at turn off

- PCB construction, wire loops creates stray inductance, L_s .
- Using KVL,

$$v_{in} = v_s + v_{ce} = L_s \frac{di}{dt} + v_{ce}$$

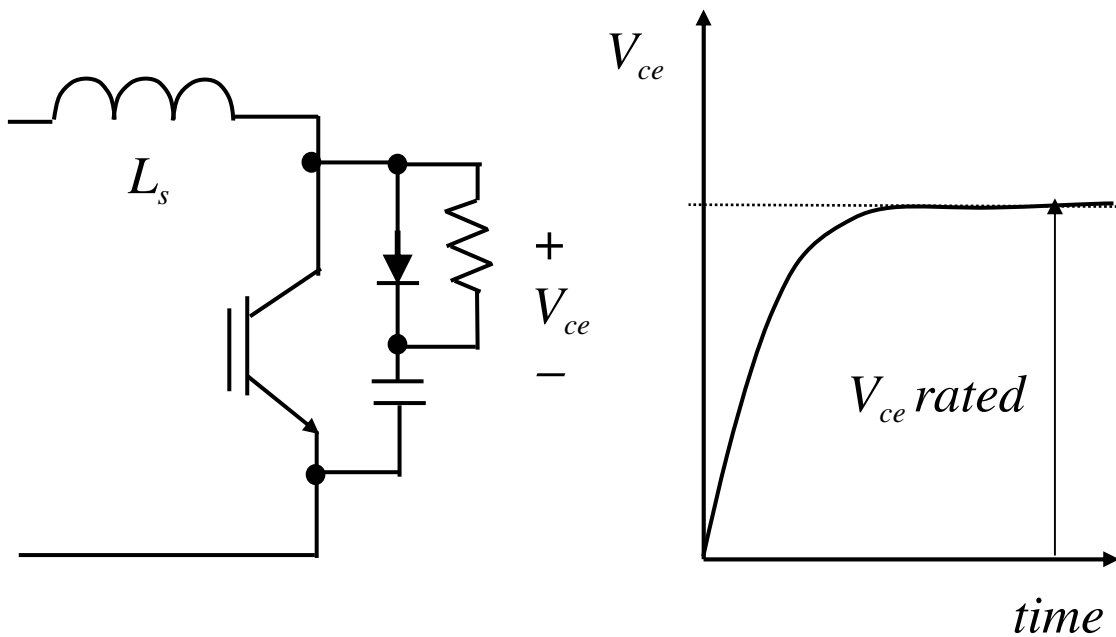
$$v_{ce} = v_{in} - L_s \frac{di}{dt}$$

since di/dt is negative (turning off)

$$v_{ce} = v_{in} + L_s \frac{di}{dt}$$

RCD Snubbers

- The voltage across the switch is bigger than the supply (for a short moment). This is spike.
- The spike may exceed the switch rated blocking voltage and causes damage due to over-voltage.
- A snubber is put across the switch. An example of a snubber is an RCD circuit shown below.
- Snubber circuit “smoothened” the transition and make the switch voltage rise more “slowly”. In effect it dampens the high voltage spike to a safe value.



Snubbers

- In general, snubbers are used for:
 - **turn-on:** to minimise large overcurrents through the device at turn-on
 - **turn-off:** to minimise large overvoltages across the device during turn-off.
 - Stress reduction: to shape the device switching waveform such that the voltage and current associated with the device are not high simultaneously.
- Switches and diodes requires snubbers. However, new generation of IGBT, MOSFET and IGCT do not require it.

Ideal vs. Practical power switch

Ideal switch	Practical switch
Block arbitrarily large forward and reverse voltage with zero current flow when off	Finite blocking voltage with small current flow during turn-off
Conduct arbitrarily large currents with zero voltage drop when on	Finite current flow and appreciable voltage drop during turn-on (e.g. 2-3V for IGBT)
Switch from on to off or vice versa instantaneously when triggered	Requires finite time to reach maximum voltage and current. Requires time to turn on and off.
Very small power required from control source to trigger the switch	In general voltage driven devices (IGBT, MOSFET) requires small power for triggering. GTO requires substantial amount of current to turn off.